

NORTHERN ILLINOIS TRAINING ADVISORY BOARD

Jeff Schelling, Executive Director
527 Colman Center Drive ** Rockford, IL 61108
Tel 779-210-8422
www.nitab.org

ALCOHOL COMPLIANCE CHECK INVESTIGATIONS

Date: March 23, 2023 **Registration Deadline: March, 1, 2023**

Times: 0800-1200 hours

Location: Dekalb Police Department
700 West Lincoln Highway
Dekalb, IL

Instructor: Kenneth Williams – Illinois Liquor Control Commission
Division of Enforcement

Member Fee: \$0 Non-Member & Member Non-Sworn Fee: \$150

Method of Instruction: In-class lecture
In-class review of student handout materials
In-class general discussion
Completion of post-test

Handout Material: Alcohol Compliance Check Investigations Resource Guide, Model Policy Guidelines, Illinois Compiled Statutes – Enforcement Actions (235 ILCS 5/6-16.1)

Training Objective: Upon completion of this course, training participants will learn:

- Best practices for compliance check preparation, investigation, and post operation
- Legal foundation & Model Policy Guidelines for compliance check investigations
- Key elements of an effective compliance check investigation
- The scope of the problem at a national & local level

Covered Mandates: Law Updates
(How are they covered?) Module 2 is dedicated to the review of Illinois Compiled Statutes – Enforcement Actions (235 ILCS 5/6-16.1)
ILCS 235 5/6-16.1 Allows for both retailers and local law enforcement to conduct compliance checks to ensure compliance with the State Liquor Code.

- *Require Illinois Law Enforcement Training and Standards Board to develop a Model Policy and Guidelines for conducting compliance checks.*
- *Requires individuals assigned to supervise compliance checks be trained in a course approved by the Illinois Law Enforcement Training and Standards Board.*
- *Requires a community's governing body to approve the guidelines to be used by that community.*

Module 2 also discusses the elements of entrapment along with case law defining entrapment and what constitutes a legal compliance check operation.

Evaluation Process:

Students will be required to demonstrate knowledge of all course materials. Additionally, the instructor will evaluate students' comprehension and retention of course topics based on their participation in discussions conducted during the course. Evaluation forms completed by each student will provide feedback to Prevention First and MTU on the quality of the course content and the instructor's effectiveness in delivering this content.

Training Summary:

Compliance checks are a foundation of any good alcohol enforcement initiative. These checks must be done fairly and correctly to encourage compliance with alcohol service laws. This session provides information on conditions that merit the use of compliance checks as well as policies and procedures for conducting these operations within state guidelines. At the completion of this session, participants will be certified by the State of Illinois to supervise compliance check operations within their community as required by state law.

Participants will learn:

- The legal foundation that allows compliance checks to be conducted
- Step-by-step information for conducting compliance check operations in communities
- State standards for conducting compliance checks and examples of model policies to ensure consistency in performance

Course Outline **Introduction**

Module 1

- Course Objectives
- National & State Data
- Financial Consequences of Underage Drinking
- What, Why, and Benefits of Alcohol Compliance Checks
- Evidence of Effectiveness

Module 2

- Illinois laws regarding Alcohol Compliance Checks
- Illinois Model Policy Guidelines

- Entrapment Defense
- Compliance Check Best Practices Introduction – Preparation
 - Community Leaders
 - Prosecutors, Courts, & Regulatory Agencies
 - Alcohol Industry/Retailers

Module 3

- Compliance Check Best Practices - Preparation
 - Recruitment & Age Verification
 - Volunteering Training Best Practices & Documentation of Volunteers
- Location Selection Best Practices
- Compliance Check Best Practices Protocols & Procedures for Pre-Operation

Module 4

- Conducting Compliance Check Best Practices
- Post Compliance Check Best Practices
- Media Relations

Conclusion, Test & Evaluation

This course has been certified by the ILETSB and approved to meet the following mandates:

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| ☐ Civil Rights | ☐ Constitutional & Proper Use of Law Enforcement Authority |
| ☐ Crisis Intervention | ☐ Cultural Competency |
| ☐ Emergency Medical Response | ☐ Human Rights |
| ☒ 1.0 Legal Updates | ☐ Officer Wellness and Mental Health |
| ☒ 1.0 Procedural Justice | ☐ Psychology of Domestic Violence |
| ☐ Reporting of Child Abuse and Neglect | ☐ Sexual Assault Trauma Informed Response |
| ☐ Use of Force | ☐ De-Escalation Techniques |
| ☐ High-Risk Traffic Stops | ☐ Law concerning stops, searches, and use of force |
| ☐ Officer Safety Techniques | ☐ Firearms Restraining Order Act |

Specialized Training

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| ☐ Crisis Intervention Team Training (CIT) | ☐ Lead Homicide Investigator |
| ☐ School Resource Officer | ☐ Sexual Assault Investigator Training |
| ☐ Epinephrine Autoinjector (Epi Pen) | ☐ Opioid Antagonist (NARCAN) |
| ☐ Drug Recognition Expert (DRE) | ☐ Advanced Roadside Impaired Driving Enforcement (ARIDE) |

To receive a State Certificate / training credit for the above listed mandates, no more than 10% of the course hours can be missed.